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PTO/SB/21 (modified)
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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/775,717
			Filing Date	February 1, 2001
			First Named Inventor	Beerud D. Sheth
			Group Art Unit Number	3624
			Examiner Name	Vincent A. Millin
Total Number of Pages in This Submission		6*	Attorney Docket Number	21673-05635

ENCLOSURES (check all that apply)	
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Signature:		
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)	Dated: May 18, 2004

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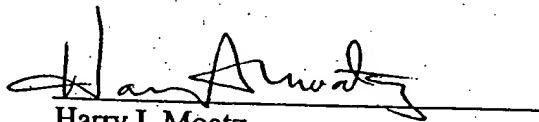
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Beerud D. Sheth
APPLICATION NO.: 09/775,717
FILING DATE: February 1, 2001
TITLE: Method and System for an On-Line Private Marketplace
EXAMINER: Vincent A. Millin
GROUP ART UNIT: 3624
ATTY. DKT. NO.: 21673-05635

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Dated:

May 18, 2004

By:

Jae Won Song, 37 C.F.R. §10.9(b)

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5th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this 5th Supplemental Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This 5th Supplemental Information Disclosure Statement is being filed:

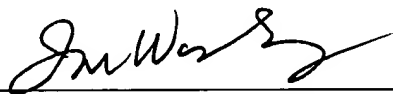
- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No.[APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Applicant submits that no fee is required for the consideration of this 5th Supplemental Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
BEERUD D. SHETH

Dated: May 18, 2004

By: 
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21673/05635/DOCS/1436217.1



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application No.	09/775,717
				Filing Date	February 1, 2001
				First Named Inventor	Beerud D. Sheth
				Art Unit	3624
				Examiner Name	Vincent A. Millin
Sheet	1	of	1	Attorney Docket Number	21673-05635

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-6,484,153 B1	11/19/2002	Walker, et al.
		US-5,956,715	09/21/1999	Glasser, et al.
		US-5,862,223	01/19/1999	Walker, et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

21673/05635/DOCS/1436220.1

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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